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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/666,191 INFORMATION DISCLOSURE **Filing Date** September 17, 2003 STATEMENT BY APPLICANT **First Named Inventor** Laszlo Prokai (use as many sheets as necessary) **Art Unit** 1614 **Examiner Name** Sheet of **Attorney Docket Number** 3 **UF-300XC2**

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant : of Cited Document :	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BB	U1	US 5,108,996	04-28-1992	Claussner et al. 5	Un i
	U2	US 5,395,831	03-07-1995	Gemmill, Jr. <i>et al</i> .	Li, · i
	U3	US 5,552,395	09-03-1996	Gemmill, Jr. et al.	
	. U4 .	US 5,554,601	09-10-1996	Simpkins et al.	U· ·:
	U5	US 5,859,001	01-12-1999	Simpkins <i>et al.</i>	(!
	U6	US 6,197,833 B1	03-06-2001	Simpkins <i>et al</i> .	• •
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BB	U8	US 6,583,170	06-24-2003	Pickar et al.	
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/Barbara Badio/

12/12/2006

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		NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
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	R2	GALDECKI, Z., P. et al. (1987) "Structure of 2,4-Dibromo-10β, 17β-dihydroxy-1,4-estrad en-3-one" Acta Cryst. Vol. c43, pages 967-968.						
· · · · · · · · · · · · · · · · · · ·	R3	HIEMKE, C. et al. (1992) "Actions of Sex Hormones on the Brain." Prog. Neuro-Psychopharmacol. & Biol.Psychiat. Vol. 16, pp. 377-388.						
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	R5	LIANG, Y. et al. (2001) "Membrane fluidity effects of estratrienes." Brain Research Bulletin. Vol. 54, No. 6, p. 661-668.						
	R6	MCCULLOUGH, LOUISE D. and PATRICIA D. HURN (July 2003) "Estrogen and Ischemic Neuroprotection: an integrated view" <i>Trends in Endocrinology and Metabolism</i> 14(5):228-235.						
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	R9	MILIC, D. et al. (1997) "Structure and Reactivity of Steroidal Quinones" J. Serb. Chem. Soc., Vol. 62, No. 9, pp. 755-768.						
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Examiner	/Barbara Badio/	Date	12/12/2006
Signature	/Balbala Badio/	Considered	12/12/2000

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Application Number	10/666,191			
Filing Date	September 17, 2003			
First Named Inventor	Laszlo Prokai			
Group Art Unit	1614			
Examiner Name				
Attorney Docket Number	UF-300XC2			

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Initials* No. 1 Item (book, magazine, journal, senal, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. BB R1 OHE, TOMOYUKI, MASAAKI HIROBE, TADAHIKO MASHINO (2000) "Novel, Metabolic Pathway of Estrone and 17β-Estradiol Catalyzed by Cytochrome P-450" <i>Drug Metabolism and Disposition</i> 28(2):110-112. PROKAI, K. et al. (2003) "Modifying Peptide Properties by Prodrug Design For Enhanced Transport into CNS." <i>Progress in Drug Research</i> , Vol. 61, pp. 158-188. PROKAI, L. et al. (2003) "Quinol-based Cyclic Antioxidant Mechanism in Estrogen Neuroprotection" <i>PNAS</i> , Vol. 100 No. 20, pp. 11741-11746. PROKAI, L. et al. (2003) "Short Communication: Quinol-Based Metabolic Cycle For Estrogens in Rat Liver Microsomes" <i>Drug and Metabolism and Disposition</i> , Vol. 31, No. 6, pp. 701-704. PROKAI, L. et al. (2001) "Synthesis and Biological Evaluation of 17β-Alkoxyestra-1,3,5(10)-trienes as Potential Neuroprotectants Against Oxidative Stress" <i>J. Med. Chem.</i> , Vol. 44, pp. 110-114. PROKAI, L., et al. (2000) "Targeting Drugs to the Brain by Redox Chemical Delivery Systems" <i>Med. Res. Rev.</i> , Vol. 20, No. 5, pp. 367-416. SOLAJA, B. et al. (1996) "A Novel <i>m</i> -CPBA Oxidation: <i>p</i> -Quinols and Epoxyquinols from Phenols" <i>Tetrahedron Letters</i> . Vol. 37, No. 21, pp. 3765-3768.			
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	R3	100 No. 20, pp. 11741-11746.	
	R4	PROKAI, L. et al. (2003) "Short Communication: Quinol-Based Metabolic Cycle For Estrogens in Rat Liver Microsomes" Drug and Metabolism and Disposition, Vol. 31, No. 6, pp. 701-704.	
	R5	PROKAI, L. et al. (2001) "Synthesis and Biological Evaluation of 17β-Alkoxyestra-1,3,5(10)-trienes as Potential Neuroprotectants Against Oxidative Stress" J. Med. Chem., Vol. 44, pp. 110-114.	
	R6		
₩ BB	R7	SOLAJA, B. et al. (1996) "A Novel m-CPBA Oxidation: p-Quinols and Epoxyquinols from Phenols" Tetrahedron Letters. Vol. 37, No. 21, pp. 3765-3768.	
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Examiner		Date	
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Substitute for fo	m 1449A/PTO		" -	Complete if Known		
			· · · · ·	Application Number	10/666,191	
	ATION DISC			Filing Date	09/17/2003	
STATEMENT BY APPLICANT				First Named Inventor	Laszlo Prokai	
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Sheet	1	of	1	Attorney Docket Number	UF-300XC2	

		<u> </u>	U.S. PATENT DO	OCUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BB	U1	US- 5,891,878	04-06-1999	Beasley et al.	All
	U2	US-			
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	FOREIGN PATENT DOCUMENTS							
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Examiner Initials*	Cite No. 1	Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁴		
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Substitute for for	Substitute for form 1449A/PTO			Complete if Known		
	•		IDE	Application Number	10/405,413	
	ATION DISCI			Filing Date	April 2, 2003	
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U.S. PATENT DOCUMENTS						
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BB	U1	US-2,910,486 A	10-27-1959	James Jiu		
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Examiner	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/405,413 **Filing Date** April 2, 2003 **First Named Inventor** Laszlo Prokai **Group Art Unit** 1614 **Examiner Name** Not yet assigned

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		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
ВВ	R1	BEERS, M.H. and R. BERKOW, Editors, The Merck Manual of diagnosis and therapy, pages 471-473 and pages 1942-1944 (1999) Merck Research Laboratories, Whitehouse Station, N.J. USA.			
ВВ	R2	LUPON, P. et al. (1983) "Photooxygenierung von Oestrogenen: Eine Neu 19-Norsteroid-Synthese" Verlagsgesellschaft, Weinheim, DE, Vol. 95, No. 9, page 757.			
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BB	U1	US 2003/0050295 A1	03-13-2003	lok-Hou Pang	All	
BB	U2	US 2003/0105167 A1	06-05-2003	James Alan Dykens	All	
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